

**CAPTIVE FILING REQUIREMENTS FOR
ASSOCIATION CAPTIVE INSURANCE COMPANIES**

REQUIRED FILINGS	DUE DATE
I. NAIC ANNUAL STATEMENT	
Annual Statement	3/1
Quarterly Annual Statement (8 ½" x 14") (Follow NAIC Annual Statement Instructions)	5/15, 8/15, 11/15
II. NAIC SUPPLEMENTS	
Supplemental Compensation Exhibit	3/1
Stockholder Information Supplement	3/1
Supplement A to Schedule T (Medical Malpractice Supplement)	3/1
Reinsurance Summary Supplemental Filing	3/1
Reinsurance Attestation Supplement	3/1
Risk-Based Capital Report	3/1
Insurance Expense Exhibit	4/1
Investment Risk Interrogatories	4/1
Management Discussion & Analysis	4/1
III. AUDITED FINANCIAL STATEMENTS	
Audited Financial Statements	6/30
Letter of Qualifications	6/30
Report of Significant Deficiencies in Internal Controls	6/30
IV. STATEMENT OF ACTUARIAL OPINION	
NAIC P&C Actuarial Opinion	6/30
Actuarial Opinion Summary	6/30
IV. OTHER REQUIRED FILINGS	
License Renewal Fees	3/1
Premium Tax	3/1

SEE PAGE 2 FOR DETAILS

	NOTES AND INSTRUCTIONS	
A	Copies	<p>Emailed pdf scans of all documents contained in the filing or mailed pdf scans on CD or flash drive of all documents in the filing. The scanned documents need to arrive by the due date.</p> <p>We also do not require original CPA and actuary reports.</p>
B	Required Filings Contact Person:	<p>Annual Statement - Jamie Bafundo captive@state.de.us (302) 577-5281 Premium Taxes - Ann Fletcher Ann.Fletcher@state.de.us (302) 674-7383</p>
C	Mailing Address:	<p>Delaware Department of Insurance Captive Insurance Bureau 1007 N. Orange Street, Suite 1010 Wilmington, DE 19801</p>
D	Mailing Address for Premium Tax Payments and License Renewal Fees:	<p>Delaware Department of Insurance Attn: Premium Tax Section 841 Silver Lake Blvd. Dover, DE 19904-2465</p>
E	Delivery Instructions:	<p>All filings must be postmarked or emailed no later than the date due. If the due date falls on a weekend or holiday, then the deadline is extended to the next business day.</p>
F	Late Filings:	<p>Company's license may be suspended for refusal or failure to submit an annual report per Del. C. §6909a.</p>
G	Signature/Notarization/Certification:	<p>Two executive officers or other authorized persons.</p>
H	Amended Filings:	<p>File within 10 days of Amendment with explanation for the original filing, same should be followed for any amendment.</p>
I	Exceptions from normal filings:	<p>Apply at least 30 days prior to due date with written explanation. This letter should not be in the business plan or presented with the application.</p>