

**DOMESTIC RISK RETENTION GROUPS AND ASSOCIATION
CAPTIVE INSURANCE COMPANIES**

REQUIRED FILINGS	DUE DATE
I. NAIC FINANCIAL STATEMENTS	
Annual Statement	3/1
Quarterly Financial Statement (8 ½" x 14")	5/15, 8/15, 11/15
II. NAIC SUPPLEMENTS	
Supplemental Compensation Exhibit	3/1
Stockholder Information Supplement	3/1
Supplement A to Schedule T (Medical Malpractice Supplement)	3/1
Reinsurance Summary Supplemental Filing	3/1
Reinsurance Attestation Supplement	3/1
Risk-Based Capital Report	3/1
Insurance Expense Exhibit	4/1
Investment Risk Interrogatories	4/1
Management Discussion & Analysis	4/1
III. AUDITED FINANCIAL STATEMENTS	
Audited Financial Statements	6/30
Letter of Qualifications	6/30
Report of Significant Deficiencies in Internal Controls	6/30
IV. OTHER REQUIRED FILINGS	
Premium Tax	3/1
License Renewal Fees	3/1
Statement of Actuarial Opinion	6/30
Actuarial Opinion Summary	6/30

	NOTES AND INSTRUCTIONS	
A	Copies	1 hard copy and either an electronic PDF of all documents contained in the filing or a CD containing PDF of all documents contained in the filing
B	Required Filings Contact Person:	Annual Statement - Jamie Bafundo Jamie.Bafundo@state.de.us (302) 577-1211 Premium Taxes - Ann Fletcher Ann.Fletcher@state.de.us (302) 674-7383
C	Mailing Address for Annual Statement:	Delaware Department of Insurance Captive Insurance Program 704 North King Street, Suite 602 Wilmington, DE 19801
D	Mailing Address for Filing Fees:	Delaware Department of Insurance Attn: Ann Fletcher 841 Silver Lake Blvd. Dover, DE 19904
E	Mailing Address for Premium Tax Payments:	See address in Note D
F	Delivery Instructions:	All filings must be postmarked no later than the date due. If the due date falls on a weekend or holiday, then the deadline is extended to the next business day.
G	Late Filings:	Company's license may be suspended for refusal or failure to submit an annual report per Del. C. §6909a
H	Signature/Notarization/Certification:	Two executive officers or other authorized persons
I	Amended Filings:	File within 10 days of Amendment with explanation for the original filing, same should be followed for any amendment.
J	Exceptions from normal filings:	Apply at least 30 days prior to due date with written explanation.
K	NAIC filing	Risk Retention Groups are required to file with the NAIC and follow the NAIC Annual Statement Instructions.